

QUESTIONS AND ANSWERS

PLEASE REFER TO THE GENERAL FAQs SECTION OF ARPA-E'S WEBSITE ([HTTP://ARPA-E.ENERGY.GOV/?Q=FAQ/GENERAL-QUESTIONS](http://arpa-e.energy.gov/?q=faq/general-questions)) FOR ANSWERS TO MANY GENERAL QUESTIONS ABOUT ARPA-E AND ARPA-E'S FUNDING OPPORTUNITY ANNOUNCEMENTS. ADDITIONAL QUESTIONS SPECIFIC TO THIS FOA ONLY ARE INCLUDED BELOW. PLEASE REVIEW ALL EXISTING GENERAL FAQs AND FOA-SPECIFIC QUESTIONS BEFORE SUBMITTING NEW QUESTIONS TO ARPA-E.

I. Concept Paper Phase Questions:

Q1. HAVE A COUPLE QUESTIONS.

1) IT SAYS ON THE BOTTOM OF THE PAPER'S TEMPLATE THAT THERE SHALL BE A SEPARATE PAPER FOR EACH TECHNOLOGY, DOES THIS APPLY FOR VARIOUS RESEARCH AREAS FOR DIFFERENT COMPONENTS OF A PROCESS AS WELL? FOR INSTANCE, IF I HAVE A NOVEL REFINING PLANT AND I'D LIKE TO PROPOSE RESEARCH ON VARIOUS PARTS OF THAT REFINING PLANT, SHOULD I SUBMIT ONE CONCEPT PAPER OR MULTIPLE CONCEPT PAPERS FOR THE VARIOUS RESEARCH COMPONENTS?

2) HOW CAN I GET AN ASSIGNED CONTROL NUMBER?

ANSWER: 1) ARPA-E will not pre-assess applicants' proposals.

2) The ARPA-E Funding Opportunity Exchange website assigns Control Numbers to submission records when:

- The applicant clicks the "Apply" button;
- Completes the Concept Paper Details form; and
- Clicks the "Create Concept Paper" button.

II. Full Application Phase Questions:

Q2. WE RECEIVED NOTIFICATION THAT ENCOURAGED US TO SUBMIT A FULL APPLICATION. OUR CONCEPT PAPER HAD A VERY LIMITED SCOPE BUT WE HAVE CONTINUED TO BUILD OUR PROJECT TEAM AND WOULD LIKE TO PROPOSE AN EXPANDED SCOPE/BUDGET TO ACHIEVE MORE INDUSTRIAL RELEVANT DATA. IS AN EXPANDED SCOPE PERMITTED IN THE FULL SUBMISSION? IF SO, IS THERE A BUDGET CAP OR MAX BUDGET RECOMMENDATION ON A PROPOSAL?

ANSWER: Yes. As stated in the FOA, awards may vary between \$500,000 and \$5 million.



Q3. WE ARE STRUGGLING TO GET THE WORK INTO THE BUDGET WITH REGARDS TO PHASE II AND PHASE IIS.

IS THERE ANY FLEXIBILITY TO MOVE \$500,000 FROM PHASE IIS INTO PHASE II?

ANSWER: Not at the pre-award stage.

Q4. QUESTION 8 OF THE BUSINESS ASSURANCES & DISCLOSURES FORM REQUIRES A DUN AND BRADSTREET DATA UNIVERSAL NUMBERING SYSTEM (DUNS) NUMBER?

DUN & BRADSTREET IS NO LONGER ACCEPTING NEW D-U-N-S NUMBER REQUESTS FOR THE PURPOSES OF REGISTERING A BUSINESS WITH SAM.GOV. PLEASE VISIT

[HTTPS://SAM.GOV/CONTENT/HOME](https://sam.gov/content/home) TO REGISTER FOR A UEI (UNIQUE ENTITY IDENTIFIER)

WE DO HAVE AN ACTIVE SAM REGISTRATION.

CAN I IGNORE THE DUNS NUMBER REQUEST?

ANSWER: Yes, but you must have a UEI number prior to award.